



# Evaluation Process

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# Evaluation Criteria Reminder

## ❖ Criteria adapted to Clean Sky

- ✓ Specified in the Rules for Participation and Rules for Submission of Proposals; refer also to sec. 3.10 Instructions for drafting "Part B" of the CS-RTD proposal

## ❖ Six main criteria:

**C1** *Technical excellence*

**C2** *Innovative Character*

**C3** *Compliance with the Call for Proposals specification and timetable (relevance)*

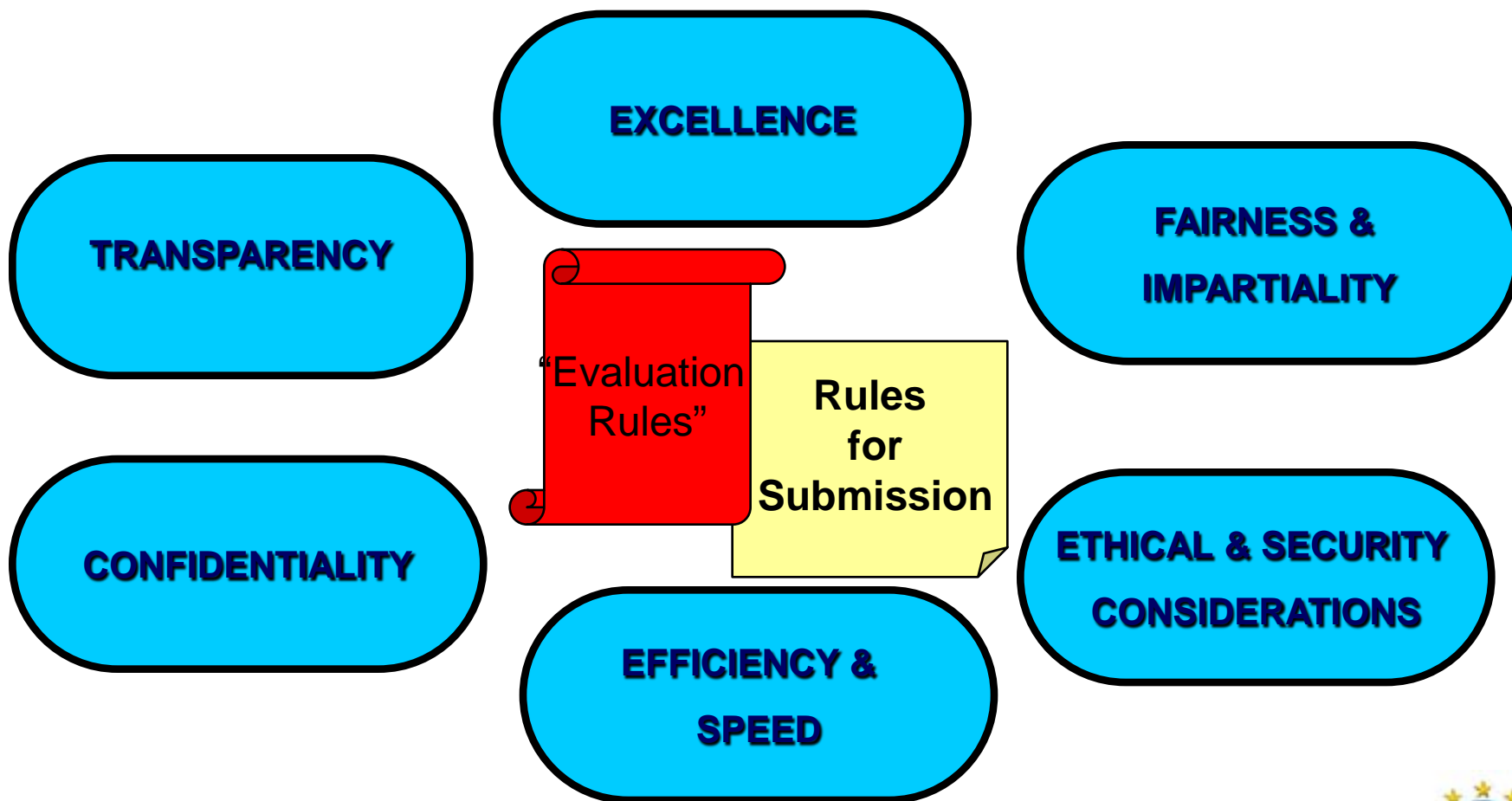
**C4** *Adequacy and quality of respondent's resources, management and implementation capabilities and track record*

**C5** *Appropriateness and efficient allocation of the resources to be committed (budget, staff, equipment)*

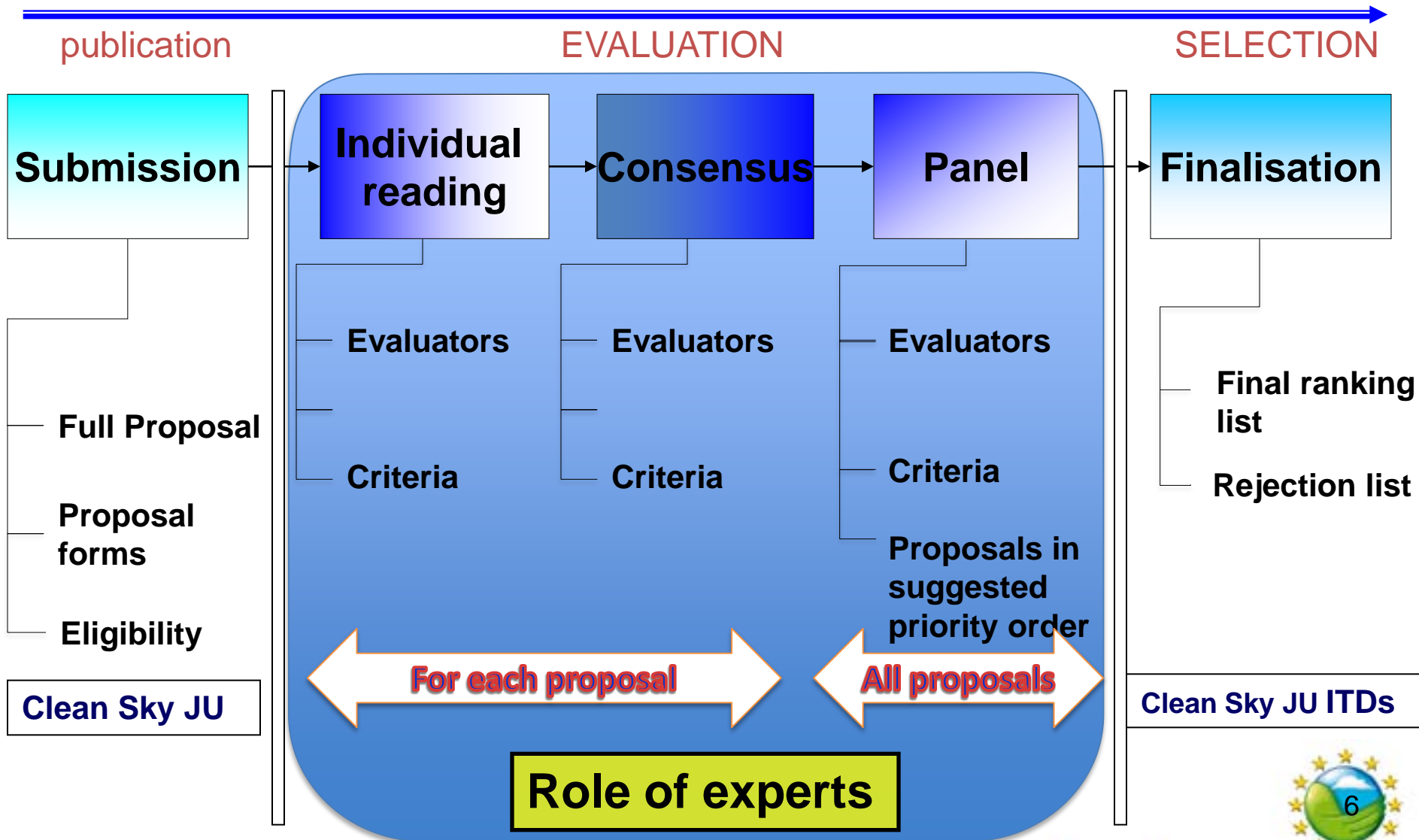
**C6** *Contribution to European Competitiveness*



# Evaluation Pillars



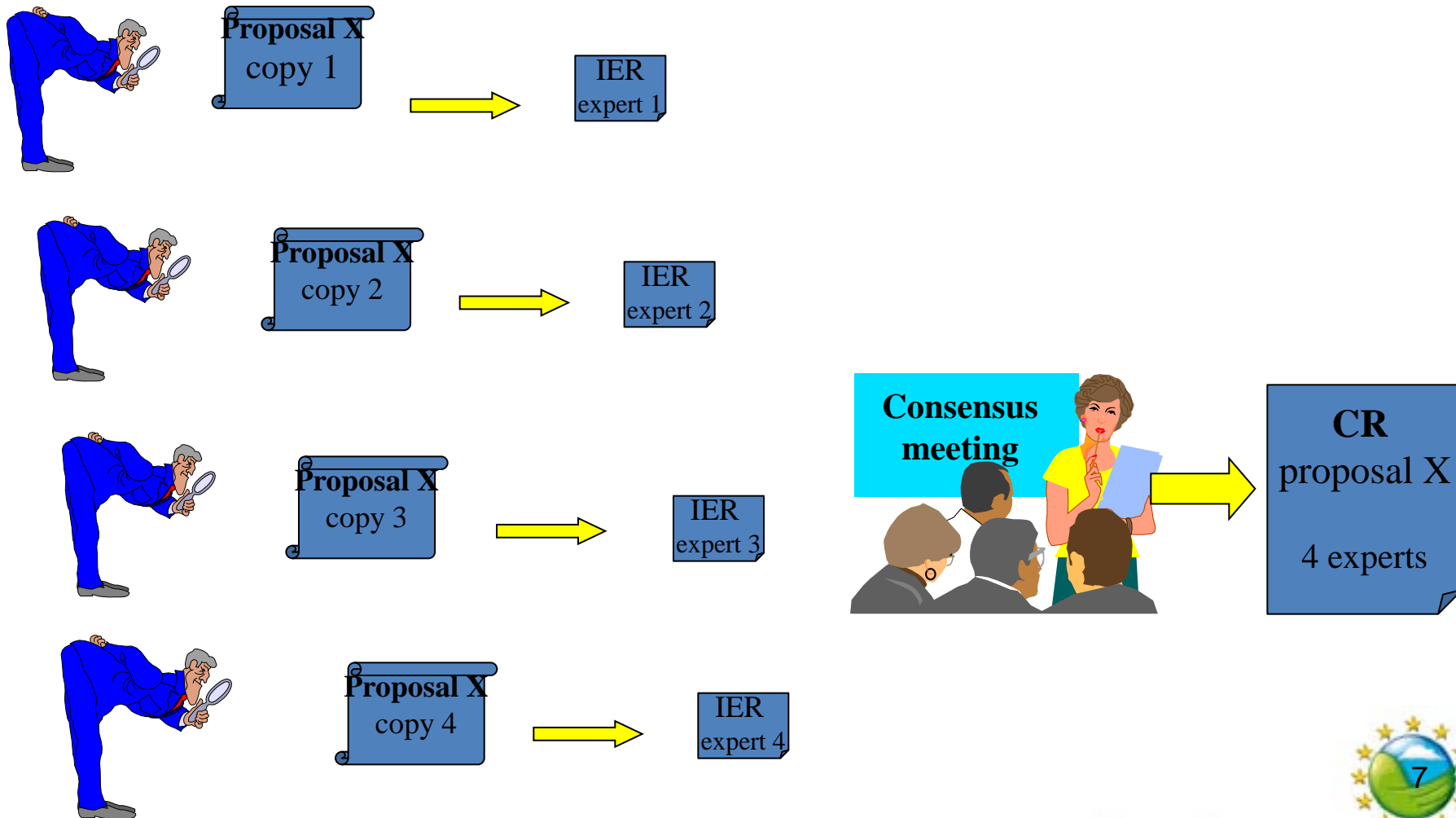
# Evaluation Process Overview



# For Each Proposal a “Consensus Meeting”

**IER**  
“Individual assessment report”

**CR**  
“Consensus Report”



# For Each Topic a “Panel Meeting”

## CR “Consensus Report”

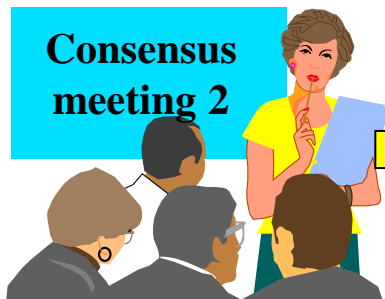
## ESR “Evaluation Summary Report”

Consensus meeting 1



CR proposal 1  
4 experts

Consensus meeting 2



CR proposal 2  
4 experts

Consensus meeting n



CR proposal n  
4 experts

Panel meeting



ESR proposal 1  
4 experts

ESR proposal 2  
4 experts

ESR proposal n  
4 experts

Topic Evaluation Report

4 experts

# Roles in the Evaluation (1/2)

## Observer Role:

- ❖ **To give advice to the Clean Sky JU on:**
  - ✓ *conduct and fairness of all phases of the evaluation*
  - ✓ *ways in which the experts acting as evaluators apply the evaluation criteria*
  - ✓ *and on ways in which the procedures could be improved.*
- ❖ **The observer shall not express views on the proposals under evaluation or the experts' opinions on the proposals.**

## Moderator Role:

- ❖ **Typically a Project Officer of the Clean Sky JU**
- ❖ **Assures the interface between the experts panel and the Topic manager**
- ❖ **Moderates the consensus meeting, helping reaching a final agreed evaluation of each proposal**
- ❖ **Keeps track of the process, assuring the proper approval at different steps of evaluation**



# Roles in the Evaluation (2/2)

## External/Internal Experts Role:

- ❖ Provide independent, impartial and objective advice to the JU/Commission
  - ✓ *Represent neither the employer, nor the country*
- ❖ Significant funding decisions will be made on the basis of their advice
  - ✓ *Can also add value to projects through their comments and suggestions (Recommendations)*

## Topic Manager Role:

- ❖ Briefing of experts on the technical goals of the call and the technical context against which the proposals have to be evaluated
- ❖ To assist on any query by experts, through the moderator
- ❖ Provide additional technical information when appropriate

# Consensus Meeting

- ❖ **The consensus discussion is moderated by the CSJU Staff member, assisted by the Topic Manager.**
- ❖ **The role of the Moderator is to seek:**
  - ✓ to arrive at a consensus between the individual views of experts without any prejudice for or against particular proposals or the organisations involved,
  - ✓ and to ensure a confidential, fair and equitable evaluation of each proposal according to the required evaluation criteria.
- ❖ **The Topic Manager provides additional technical information only when appropriate.**

# Topic Panel

- ❖ **To ensure consistency**
- ❖ **Prioritise proposals with identical consensus scores, after any adjustments for consistency**
- ❖ **Resolve any cases where a minority view is recorded in the consensus report**
- ❖ **Clear guidance for contract negotiation**
- ❖ **Produces final marks and comments for each proposal**
  - ✓ List of proposals, with recommendations for priority order
  - ✓ Evaluation Summary Reports (ESR)
    - Usually follows the consensus report
  - ✓ Any new scores (if necessary) ... should be carefully justified



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