



## **Decision of the Governing Board adopting the Second Amended Bi-annual Work Plan and Budget 2018 - 2019**

THE GOVERNING BOARD OF THE CLEAN SKY 2 JOINT UNDERTAKING,

Having regard to the Statutes annexed to Council Regulation n° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking<sup>1</sup> ('Clean Sky 2 JU'), and in particular Article 8(2)(d) and (h);

Having regard to Commission Delegated Regulation (EU) No 624/2014 of 14 February 2014 establishing a derogation from Regulation (EU) No 1290/2013 of the European Parliament and of the Council laying down the rules for participation and dissemination in Horizon 2020 with regard to the Clean Sky 2 JU<sup>2</sup> and in particular its Article 1;

Having regard to the Financial Rules<sup>3</sup> of the Clean Sky 2 JU, and in particular Articles 15.1 and 31.4;

Having regard to the Decision of the Governing Board of 5 April 2018 adopting the First Amended Bi-annual Work Plan and Budget 2018-2019<sup>4</sup>;

Having regard to the consultations with the Scientific Committee and the States Representatives Group launched on 6 September 2018, and their positive outcome;

### **WHEREAS:**

1) The Statutes of the Clean Sky 2 JU confer on the Governing Board the powers to adopt the Work Plan;

2) The scope of the Work Plan is mainly to inform potential beneficiaries in a transparent manner about the Clean Sky 2 JU planned financial support and actions to be co-financed in its field of activities in accordance with its founding Regulation and applicable legal provisions;

3) The First Amended Bi-annual Work Plan and Budget 2018-2019 adopted by the Governing Board on 5 April 2018 needs to be amended in order to include under Annex V the list and full

*1 OJ ref. L 169/101, 7.6.2014*

*2 OJ ref. L 174/14, 13.6. 2014*

*3 Ref. CS-GB-Writ. Proc. 2016-05 Revised CSJU Financial Rules*

*4 CS-GB-2018-04-05 Decision First Amended WP and Budget 2018-2019*



description of topics to be launched under the ninth call for Proposals, to update the budget 2018-2019 information under sections 4.1.

4) In order to optimise the use of all the funds available for operational expenditure it is needed to re-inscribe to Title 4 (Operational Expenditure CS2) €58 million of unused funds that have been decommitted after the closure of the Grant Agreement for Members 2014-2017 in 2018 and to transfer the amount of €0,9 million from Title 2 (Infrastructure) to Title 5 (Unused funds) for administrative expenditures.

5) The Clean Sky 2 Joint Undertaking budget for 2019 indicated as Union contribution is subject to the adoption of the 2019 general budget of the Union;

6) In line with Art. 31(4) of the Clean Sky 2 JU's Financial Rules, its annual work plan provides the authorisation by the Governing Board for the operational expenditure on the activities it covers, provided that certain elements are clearly identified, in particular that the work plan contains a description of the action(s) to be financed and an indication of the amount allocated to each action. While the Second Amended Bi-Annual Work Plan and Budget 2018-2019 provides a detailed description of actions covered by the seventh, eighth and ninth Call for Proposals and the related indicative budget breakdown as detailed in its Annexes III, IV and V respectively, the description of actions and indicative budget breakdowns for future Calls have not yet been finalised.

7) In the light of the specific structure of the Clean Sky 2 JU Programme and its governance framework, the specific legal status and statutory entitlements of the private members, and in order to prevent any conflict of interest and to ensure a competitive, transparent and fair process, "additional conditions" within the meaning of Art 9(5) of the Horizon 2020 Rules for Participation should apply to the calls for proposals launched within the complementary framework of ITD/IADP/TA (as described in Part A of Annex V), as laid down in section 3.3 "Call management rules" of the Work Plan;

8) In cases where the performance of actions by Core Partners and Partners may contribute to the Clean Sky 2 JU high-level objectives and provide benefit to a broader stakeholder base beyond one IADP/ITD or TA, Research and Innovation Action topics may be launched outside the complementary framework of one IADP/ITD/TA. In this context, in order to prevent any conflict of interest and to ensure a competitive, transparent and fair process, "additional conditions" within the meaning of Art 9(5) of the Horizon 2020 Rules for Participation should apply to these topics (as described in Part B of Annex V), as laid down in section 3.3 "Call management rules" of the Work Plan;

9) In accordance with Article 43 RfP, the Clean Sky 2 JU should make appropriate checks concerning exploitation of results during project implementation and the reporting phase. In this



respect, the contractual option of Article 30.3 of the model grant agreement (providing that the JU may object to a transfer of ownership or licensing of results to a third party established in a third country not associated to the EU-H2020) should apply by default to all Clean Sky 2 JU grant agreements.

10) The grants to be awarded by the Clean Sky 2 JU should be subject to the prior adoption by the Governing Board of the Work Plan, to be published prior to its implementation;

11) In the interest of legal certainty and clarity, a second amended Bi-annual Work Plan and Budget should be adopted;

HAS DECIDED:

#### Article 1

The Clean Sky 2 JU First Amended Bi-annual Work Plan and Budget 2018-2019 is replaced by the Second Amended Clean Sky 2 JU Bi-Annual Work Plan and Budget 2018-2019 set out in the Annex.

#### Article 2

The Second Amended Clean Sky 2 JU Bi-Annual Work Plan and Budget 2018-2019 shall provide authorisation by the Governing Board for the operational expenditure of the Clean Sky 2 JU only for the specific actions covered by the Clean Sky 2 JU seventh, eighth and ninth Call for Proposals as set out in its Annexes III, IV and V respectively.

#### Article 3

The Executive Director shall make the Second Amended Bi-annual Work Plan and Budget 2018-2019 publicly available on the Clean Sky 2 JU's website.

#### Article 4

This decision shall enter into force on the day following that of its adoption.



Brussels, 31 October 2018

*On behalf of the Governing Board, through written procedure No. 2018 –08*

Tiit Jürimäe

  
Interim Executive Director  
Clean Sky 2 Joint Undertaking

**Annex:**

- Second Amended Bi-annual Work Plan and Budget 2018-2019;  
(ref. docs: CS-GB-Writ proc 2018-08 Second Amended WP and Budget 2018-2019;  
CS-GB-Writ proc 2018-08 Annex V CFP09 Second Amended WP and Budget 2018-2019;  
CS-GB-Writ proc 2018-08 Budget 2018-2019 Amend. no. 2 )

## Clean Sky 2 Joint Undertaking Budget 2018 - 2019 Amendment no 2

## Statement of Revenue and Expenditure for the Clean Sky 2 Joint Undertaking for the financial years 2018 - 2019

## STATEMENT OF REVENUE

Title Chapter	Heading	Financial year 2018*	Financial year 2018*	Financial year 2019*	Financial year 2019*	Comments
		Commitment Appropriations	Payment Appropriations	Commitment Appropriations	Payment Appropriations	
1 0	SUBSIDY FROM THE COMMISSION	290,035,012	331,863,909	290,114,107	323,005,259	Subsidy from the Commission is a sum of the EC + EFTA Contribution, with EFTA contribution calculated at 2,33% for 2018 and 2,38% for 2019. The 2018 and 2019 Commitments Appropriations provided by the EU Commission are showed running costs included.
2 0	CONTRIBUTION FROM MEMBERS (NON-EC)	4,554,181	4,554,181	4,760,173	4,760,173	This covers the estimated Industrial members contribution to the running costs for Clean Sky
3 0	CARRY OVER FROM PREVIOUS YEAR (executed and estimated)	73,054,206	3,891,392	0	0	The amounts presented in CA and PA cover the cancelled appropriations from 2017 and 2018 (estimates) which Clean Sky 2 JU may use again in accordance with Article 6§5 of its Financial Rules.
5 0	FINANCIAL REVENUES (BANK INTEREST)	1,303	1,303	0	0	This represents the interest gained on the funds held in the Clean 2 Sky JU bank accounts.
<b>TOTAL REVENUE</b>		<b>367,644,702</b>	<b>340,310,785</b>	<b>294,874,280</b>	<b>327,765,432</b>	

## STATEMENT OF EXPENDITURE

Title Chapter	Heading	Financial year 2018*	Financial year 2018*	Financial year 2019*	Financial year 2019*	Comments
		Commitment Appropriations	Payment Appropriations	Commitment Appropriations	Payment Appropriations	
<b>1</b>	<b>STAFF EXPENDITURE</b>					
1 1	STAFF IN ACTIVE EMPLOYMENT	4,300,000	4,300,000	4,400,000	4,400,000	Cost of staff includes: * basic salaries, family allowances, expatriation and foreign residence allowances, and contract staff.
1 2	MISCELLANEOUS EXPENDITURE ON STAFF	300,000	517,778	300,000	300,000	Includes: *miscellaneous expenditures on staff recruitment; * travel expenses; * installation, resettlement and transfer; * removal expenses; * temporary daily subsistence allowances; *Administrative assistance costs of PMO of DG HR (COM) and the costs of interim staff.
1 3	MISSIONS AND DUTY TRAVEL	340,000	374,297	350,000	350,000	Includes: * mission expenses, duty travel expenses and other ancillary expenditure
1 4	SOCIOMEDICAL INFRASTRUCTURE	100,000	101,958	120,000	120,000	Includes: * sociomedical structures (restaurant, canteens, ...); * medical services: * language courses, trainings
1 5	SOCIAL MEASURES	0	0	0	0	
1 7	RECEPTIONS AND EVENTS	65,000	65,476	70,000	70,000	
<b>TITLE 1 - TOTAL</b>		<b>5,105,000</b>	<b>5,359,509</b>	<b>5,240,000</b>	<b>5,240,000</b>	
<b>2</b>	<b>INFRASTRUCTURE EXPENDITURE</b>					
2 0	RENTAL OF BUILDINGS AND ASSOCIATED COSTS	550,000	558,106	570,000	570,000	Includes: * rent; * insurance; * water gas, electricity, heating; * cleaning and maintenance; * fitting-out of premises; * security and surveillance of buildings;
2 1	INFORMATION TECHNOLOGY PURCHASES	500,000	694,735	550,000	550,000	Includes: * data processing of ABAC-platform yearly fees + sTESTA + GMT+other IT expenditures
2 2	MOVABLE PROPERTY AND ASSOCIATED COSTS	20,000	20,000	15,000	15,000	Includes: * technical installation and electronic offices; * furniture; documentation and library expenditure
2 3	CURRENT EXPENDITURE FOR RUNNING COSTS	60,000	103,825	65,000	65,000	Includes: * office supplies; * financial charges (bank charges); legal expenses (damages, claims); * other operating expenditures (insurance civil liability, expenditures internal meeting, departmental removals, archiving documents)
2 4	POSTAGE AND TELECOMMUNICATIONS	45,000	56,395	50,000	50,000	Includes appropriations for postage, telephones, internet and mobile communication costs
2 5	EXPENDITURE ON FORMAL AND OTHER MEETINGS	300,000	331,832	300,000	300,000	Official meetings such as ITD coordination, SRG, Annual Reviews and Governing Board
2 7	COMMUNICATION ACTIVITIES	550,000	692,654	600,000	600,000	Communication events, publications including brochures and Website
2 8	EXTERNAL SERVICES AND SUPPORT	1,000,000	1,136,907	1,000,000	1,000,000	Includes costs for the CSCS, ex-post audit services contracted externally and the general consultancy which the JU may wish to request on specific issues
2 9	COSTS ASSOCIATED WITH CALLS	97,000	97,000	1,130,346	1,130,346	These appropriations are intended to cover the costs associated with the calls for proposals and calls for core partners issued by Clean Sky 2 JU.
<b>TITLE 2 - TOTAL</b>		<b>3,122,000</b>	<b>3,691,454</b>	<b>4,280,346</b>	<b>4,280,346</b>	
<b>TOTAL ADMINISTRATIVE EXPENDITURE (Title 1 &amp; Title 2)</b>		<b>8,227,000</b>	<b>9,050,963</b>	<b>9,520,346</b>	<b>9,520,346</b>	
<b>3</b>	<b>OPERATIONAL EXPENDITURE CS</b>					
3 0	SMART FIXED WING AIRCRAFT	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Smart Fixed Wing Aircraft.
3 1	GREEN REGIONAL AIRCRAFT	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Green Regional Aircraft.
3 2	GREEN ROTORCRAFT	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Green Rotorcraft.
3 3	SUSTAINABLE AND GREEN ENGINES	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Sustainable and Green Engines.
3 4	SYSTEMS FOR GREEN OPERATIONS	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Systems for Green Operations.
3 5	ECO-DESIGN	0	0	0	0	This appropriation shall cover the costs to the Integrated Technology Demonstrator - Eco-Design.
3 6	TECHNOLOGY EVALUATOR	0	0	0	0	This appropriation shall cover the costs to the Technology Evaluator.
3 7	CALLS FOR PROPOSALS	222,517	325,994	0	0	The payment appropriations includes the interim and final payments of all previous calls.
<b>TITLE 3 - TOTAL</b>		<b>222,517</b>	<b>325,994</b>	<b>0</b>	<b>0</b>	
<b>4</b>	<b>OPERATIONAL EXPENDITURE CS2</b>					
4 0	LARGE PASSENGER AIRCRAFT	39,500,000	55,800,000	66,300,000	67,500,000	This appropriation shall cover the costs to the Large Passenger Aircraft Leaders + Core Partners
4 1	REGIONAL AIRCRAFT	12,721,196	15,900,000	13,400,000	15,500,000	This appropriation shall cover the costs to the Regional Aircraft Leaders + Core Partners
4 2	FAST ROTORCRAFT	20,000,000	21,800,000	32,000,000	17,100,000	This appropriation shall cover the costs to the Fast Rotorcraft Leaders + Core Partners

4 3	AIRFRAME	31,475,082	41,000,000	36,250,000	32,000,000	This appropriation shall cover the costs to the Airframe Leaders + Core Partners
4 4	ENGINES	32,000,000	43,300,000	35,100,000	47,200,000	This appropriation shall cover the costs to the Engine Leaders + Core Partners
4 5	SYSTEMS	27,190,945	31,800,000	33,620,000	25,600,000	This appropriation shall cover the costs to the Systems Leaders + Core Partners
4 6	TECHNOLOGY EVALUATOR	840,000	400,000	1,100,000	850,000	This appropriation shall cover the costs to the Technology Evaluator Leaders + Core Partners
4 7	ECO-DESIGN TRANSVERSE ACTIVITY	640,000	800,000	800,000	976,000	This appropriation shall cover the costs for Eco-Design Transverse Activity
4 8	SMALL AIR TRANSPORT TRANSVERSE ACTIVITY	500,000	400,000	550,000	450,000	This appropriation shall cover the costs for Small Air Transport Transverse Activity
4 9	CALLS FOR PROPOSAL / CALLS FOR TENDER	191,509,138	116,915,004	66,233,934	111,069,086	This appropriation shall cover the costs for the projects arising out of Clean Sky 2 calls for proposals
<b>TITLE 4 - TOTAL</b>		<b>356,376,361</b>	<b>328,115,004</b>	<b>285,353,934</b>	<b>318,245,086</b>	
<b>TOTAL OPERATIONAL EXPENDITURE (Title 3 &amp; Title 4)</b>		<b>356,598,878</b>	<b>328,440,998</b>	<b>285,353,934</b>	<b>318,245,086</b>	
5	<b>UNUSED APPROPRIATIONS NOT REQUIRED IN CURRENT YEAR</b>	<b>2,818,824</b>	<b>2,818,824</b>	<b>0</b>	<b>0</b>	These appropriations are not used in the current year but are shown here for full transparency of the credits available to the JU for future use in accordance with Article 6§5 of its Financial rules.
<b>TOTAL BUDGET</b>		<b>367,644,702</b>	<b>340,310,785</b>	<b>294,874,280</b>	<b>327,765,432</b>	

\* The EU contribution for 2019 is subject to the outcome of the budgetary procedure.

<b>Clean Sky 2 Joint Undertaking Establishment Plan 2018-2019</b>				
Category and grade	Establishment plan 2018		Establishment plan 2019	
	Off.	TA	Off.	TA
AD 16				
AD 15				
AD 14		1		1
AD 13				
AD 12				
AD 11		2		2
AD 10		3		3
AD 9		10		10
AD 8		1		1
AD 7		5		5
AD 6		10		10
AD 5				
<b>Total AD</b>		<b>32</b>		<b>32</b>
AST 11				
AST 10				
AST 9				
AST 8				
AST 7		1		1
AST 6				
AST 5		2		2
AST 4		1		1
AST 3				
AST 2				
AST 1				
<b>Total AST</b>		<b>4</b>		<b>4</b>
<b>TOTAL TA</b>		<b>36</b>		<b>36</b>
CA FG IV		1		1
CA FG III		5		5
CA FG II				
CA FG I				
<b>Total CA</b>		<b>6</b>		<b>6</b>
<b>TOTAL</b>		<b>42</b>		<b>42</b>